



PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Joseph P. Bigus et al. : Date: November 18, 2005
Group Art Unit: 3621 : IBM Corporation
Examiner: F. Backer : Intellectual Property Law
Serial No.: 09/431,833 : Dept. 917, Bldg. 006-1
Filed: November 2, 1999 : 3605 Highway 52 North
Title: INTELLIGENT AGENT WITH : Rochester, MN 55901
NEGOTIATION CAPABILITY AND
METHOD OF NEGOTIATION
THEREWITH

INFORMATION DISCLOSURE STATEMENT AND PETITION REQUESTING
CONSIDERATION UNDER 37 C.F.R. §1.97(d)

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTOSB08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

11/23/2005 DTESSEM1 00000023 090465 09431833

01 FC:1806 180.00 DA

Docket No. RO996-054L
Serial No. 09/431,833


This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(d) after final office action or notice of allowance, but before payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) follows below.

The petition fee of \$180.00 set forth in 37 C.F.R. §1.17(i) is to be charged to our Deposit Account No. 09-0465.

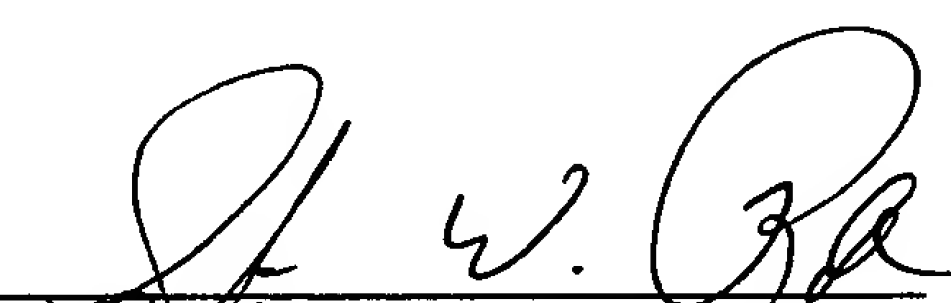
Date: November 18, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

November 18, 2005
(Date of Deposit)


Lisa M. Plank

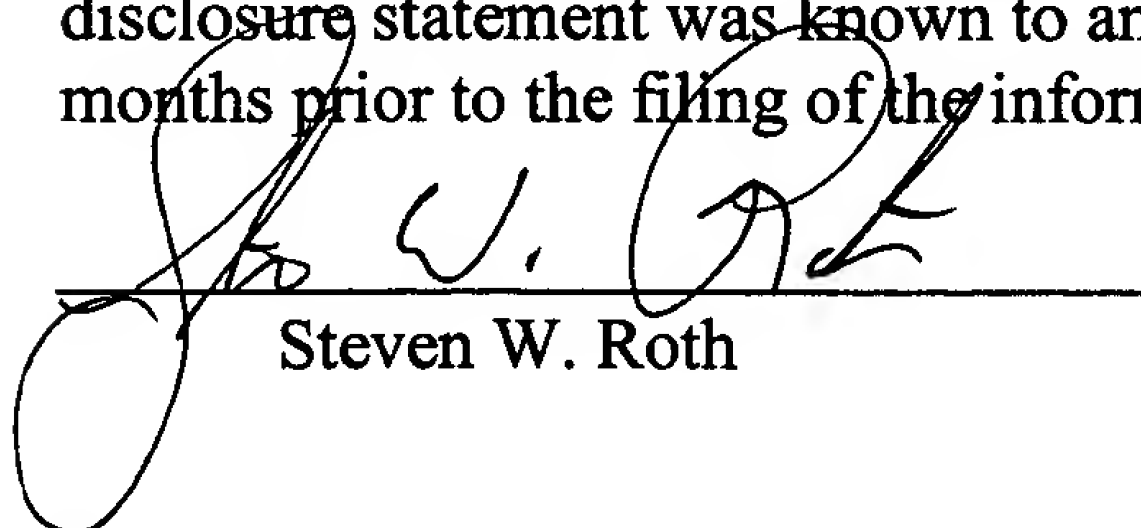
Respectfully submitted,

By: 

Steven W. Roth, Attorney
Attorney Reg. No.: 34,712
IBM Corporation, Dept 917
3605 Highway 52 North
Rochester, MN 55901-7829
Telephone: (507) 253-1600

CERTIFICATION

I hereby certify that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.


Steven W. Roth

November 18, 2005
Date

PTO/SB/08A

NOV 21 2005

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 2

Application Number.:

09/431,833

Filing Date:

November 2, 1999

First Named Inventor:

Bigus

Art Unit:

3621

Examiner Name:

F. Backer

Attorney Docket Number.:

RO996-054L

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	<u>Document Number</u> Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 5,933,647	08-03-1999	Aronberg et al.	
		US - 2004/0059929	03-25-2004	Rodgers, et al.	
		US - 6,023,586	02-08-2000	Gaisford et al.	
		US -			
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	<u>Foreign Patent Document</u> Country ³ - Number ⁴ - Kind Code ⁵ Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T ⁶

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

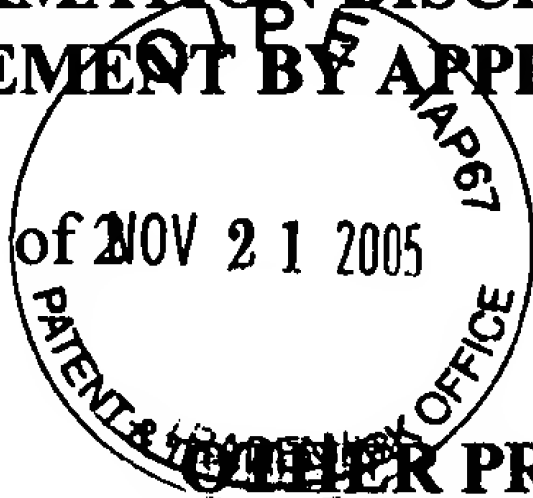
⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

PTO/SB/08A

Application Number.: 09/431,833
 Filing Date: November 2, 1999
 First Named Inventor: Bigus
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 Examiner Name: F. Backer
 Attorney Docket Number.: RO996-054L

**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**

Sheet 2 of 2 NOV 21 2005


OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published.	T ²
		Hoff, et al., "The Open Software Description Format (OSD)" Aug 11, 1997 W3C	

Examiner Signature		Date Considered	
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